

PTO/ISB/21 (09-04) (AW 10/2004)

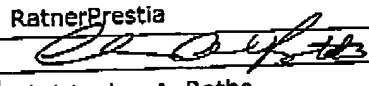
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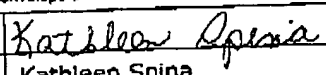
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/668,484	RECEIVED CENTRAL FAX CENTER OCT 24 2006
	Filing Date	September 22, 2003	
	First Named Inventor	Shastri, Venkatram P.	
	Art Unit	1615	
	Examiner Name	Vanik, David L.	
	Attorney Docket No.	RCHP-124US	
Total Number of Pages in This Submission 6			

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-FAX COVER SHEET; THE FOLLOWING EXECUTED DOCUMENTS FROM THE CHILDREN'S HOSPITAL OF PHILADELPHIA AND THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA: POA AND CORRESPONDENCE ADDRESS INDICATION FORM AND STATEMENT UNDER 37 CFR 3.73(b).
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestia		
Signature			
Printed Name	Christopher A. Rothe	Registration No.	54,650
Date	October 24, 2006		

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I hereby certify that this correspondence is being facsimile transmitted to 571-273-8300 the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature		Date	October 24, 2006
Typed or Printed Name	Kathleen Spina		

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 INDICATION FORM**

Application Number	10/668,484
Filing Date	September 22, 2003
First Named Inventor	Shastri, Venkatram P.
Title	ENGINEERING OF MATERIAL SURFACES
Art Unit	1615
Examiner Name	Vanik, David L.
Attorney Docket Number	RCHP-124US

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I hereby revoke all previous powers of attorney given in the above-identified application.

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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Jennifer Langenberger</i>	Date	10/09/2006
Name	Jennifer Langenberger	Telephone	215-573-4508
Title and Company	The Trustees Of The University Of Pennsylvania		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of 3 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: The Trustees of The University of PennsylvaniaApplication No./Patent No.: 10/568,484Filed/Issue Date: September 22, 2003RECEIVED
CENTRAL FAX CENTEREntitled: ENGINEERING OF MATERIAL SURFACES

OCT 24 2006

The Trustees of The University of Pennsylvania, a Not-for-Profit Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; OR
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015002, Frame 0546, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 36 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jennifer Langenberger
Signature10/09/2006
Date

Jennifer Langenberger

Printed or Typed Name

The Trustees Of The University Of
Pennsylvania

Title

Telephone Number

215-573-4508

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual cases. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INDICATION FORM**

Application Number	10/868,484
Filing Date	September 22, 2003
First Named Inventor	Shastri, Venkatram P.
Title	ENGINEERING OF MATERIAL SURFACES
Art Unit	1615
Examiner Name	Vanik, David L.
Attorney Docket Number	RCHP-124US

I hereby revoke all previous powers of attorney given in the above-identified application.

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OR

☐ Practitioner(s) named below:

Name	Registration Number

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Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Joseph J. DiDonato</i>	Date	10/15/06
Name	Joseph J. DiDonato	Telephone	215-690-4860
Title and Company	JD, Director - The Children's Hospital of Philadelphia		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 3 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: The Children's Hospital of Philadelphia

Application No./Patent No.: 10/668,484

Filed/Issue Date: September 22, 2003

Entitled: ENGINEERING OF MATERIAL SURFACES

The Children's Hospital of Philadelphia
(Name of Assignee)

a Not-for-Profit Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; OR
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015002, Frame 0599, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 38 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Joseph J. DiDonato
Signature
Joseph J. DiDonato
Printed or Typed Name
JD, Director
Title

8/15/06
Date
Telephone Number
215-590-4660

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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Fax: 610-530-8200**FAX FILING IN U.S. PATENT & TRADEMARK OFFICE**DATE: October 24, 2006

TIME: _____

TO: Commissioner For Patents	FAX NO.: 571-273-8300
FROM: Christopher A. Rothe, Reg. No. 54,650	ADMIN. ASST.: Kathleen Spina
APPLN. NO.: 10/668,484	ATTY. DOCKET NO.: RCHP-124US
TITLE OF APPLN.: ENGINEERING OF MATERIAL SURFACES	
FILING DATE: September 22, 2003	ART UNIT: 1615
FIRST INVENTOR: Shastri, Venkatram P.	
TITLE OF DOCUMENT (and List of Attachments): Power of Attorney and Correspondence Address Indication Form	
Transmittal Sheet and the following executed documents from The Children's Hospital of Philadelphia and also a set of each from The Trustees of the University of Pennsylvania: POA and Address Indication Form with an Executed Statement Under 37 CFR 1.73(b)	

Total Number of Pages: 6 (including this form)**COMMENTS****PLEASE NOTE OUR NEW ATTORNEY DOCKET NUMBER: RCHP-124US****CONFIDENTIAL AND PRIVILEGED ATTORNEY/CLIENT INFORMATION**

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